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# **System Evaluation of Disk Allocation Methods for Cartesian Product Files by using Error Correcting Codes**

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- I. Introduction
- II. Preliminaries
- III. Disk Allocation of Cartesian Product Files
- IV. Evaluation of Disk Allocation Methods
- V. Numerical Results
- VI. Concluding Remarks

1. QA (Question Answering) Systems by J. Perl and A. Crolotte in 1970's [9]
  - Rate-Distortion Theory
  - Flexible and Elastic
2. System Evaluation Model [4][5][6][7]
3. Error Correcting Codes [11][12]

## II. Preliminaries

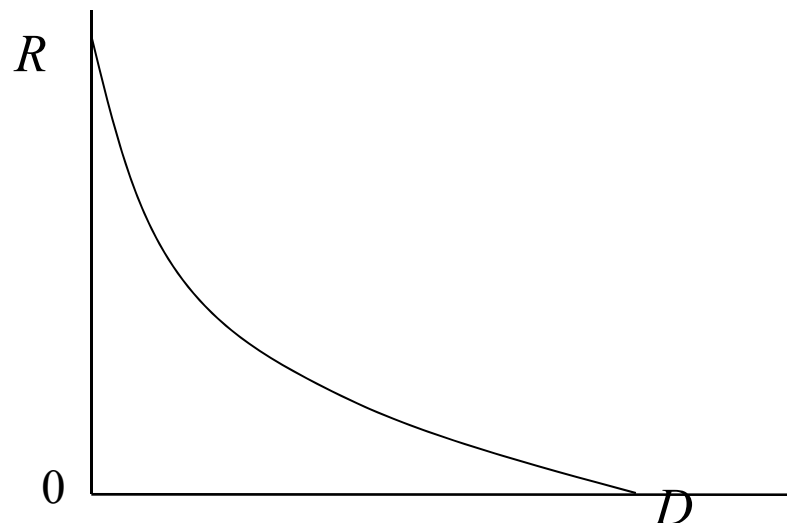
### A. Out Line of Rate-Distortion Theory

The rate-distortion function:  $R = R(D)$  (1)

$R$  : rate

$D$ : distortion

The  $R = R(D)$  is usually a convex downward and non-increasing function of  $D$ . The function  $R = R(D)$  suggests us that we can decrease the rate drastically with tolerating a slightly growth of the distortion by proper source encoding.



## II. Preliminaries

### B. System Evaluation Model

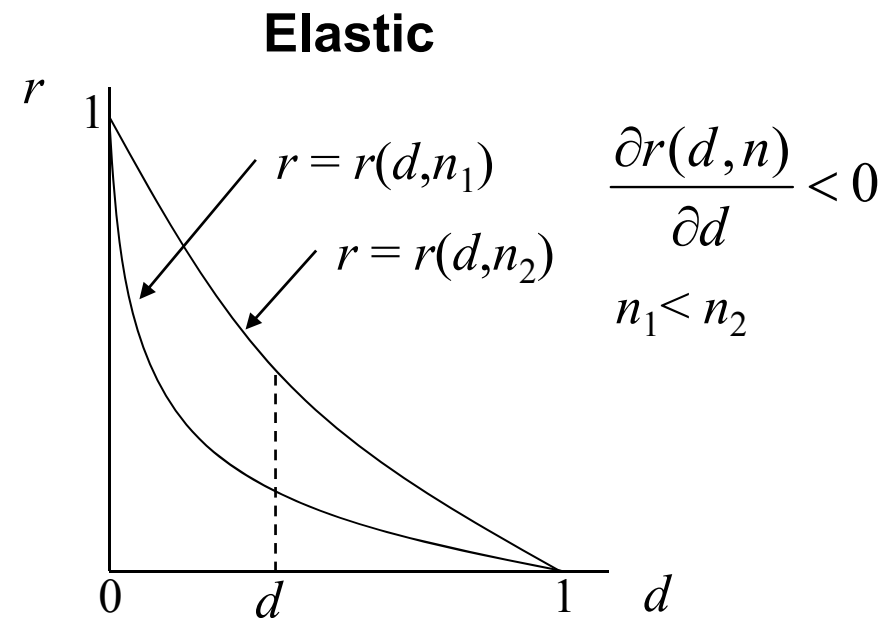
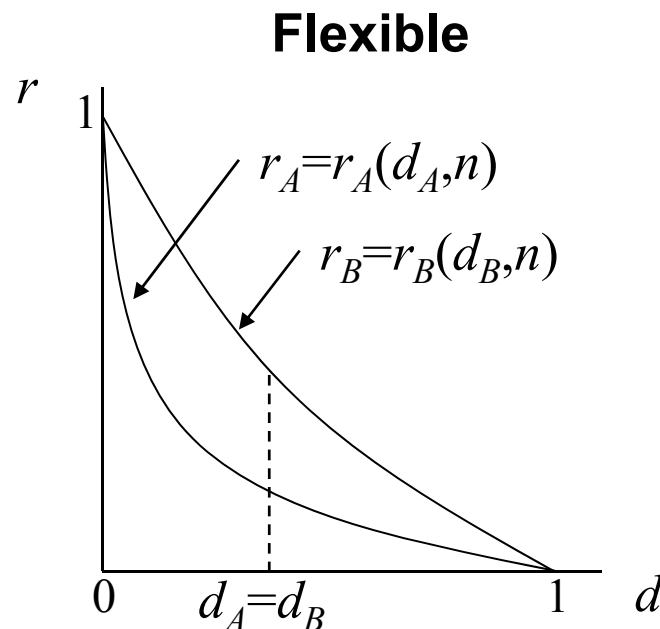
The system evaluation model:  $r = r(d, n)$  (2)

$r$ : the cost of the system ( $= R/R_{\max}$ )

$d$ : degradation of the performance of the system ( $d=D/D_{\max}$ )

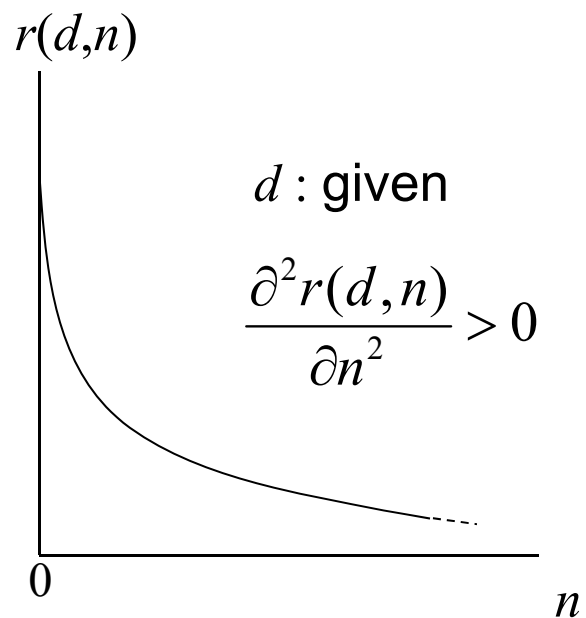
$n$ : the system size

#### [Definition 1]

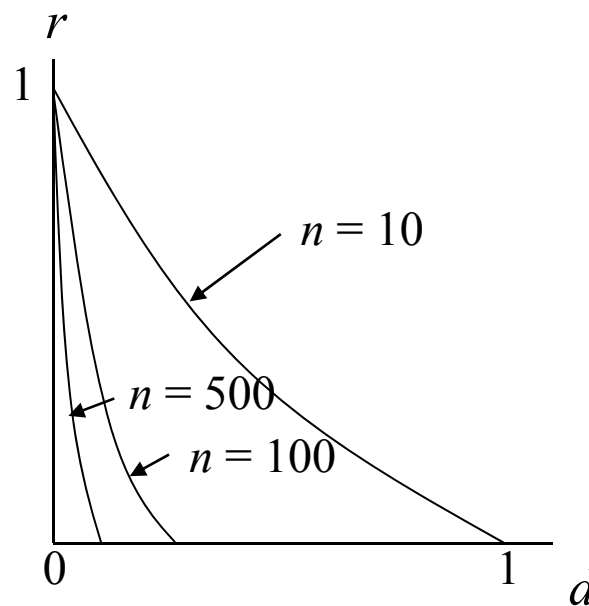


## II. Preliminaries

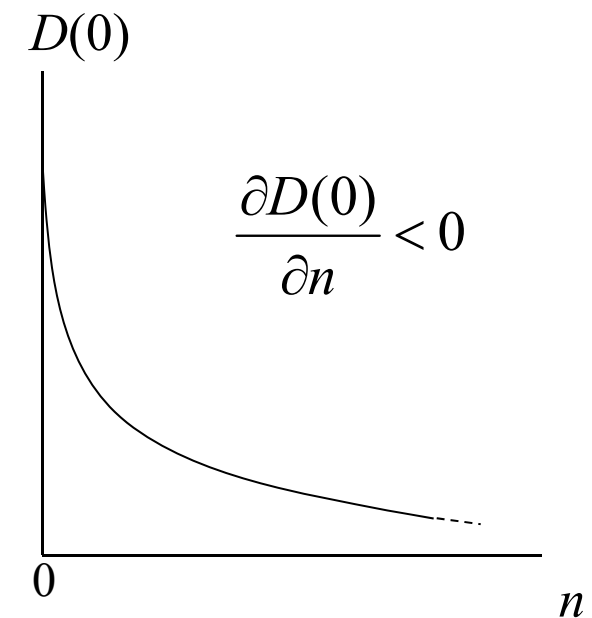
### Effective Elastic



### Trivial Elastic



### Marginal Elastic



## II. Preliminaries

[Example] Distributed Database in Computer networks [6][7]

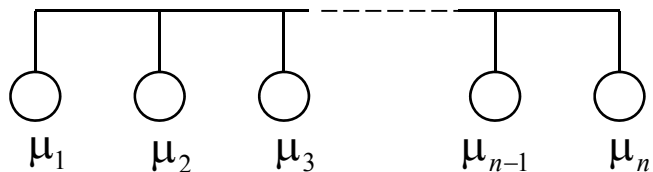
$r$  : the redundancy of the file duplication

$d$  : the access cost to the files

$n$  : the number of the nodes

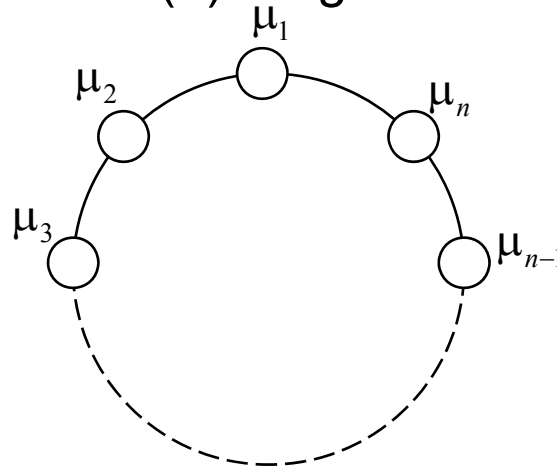
### Network Topology

(a) Bus

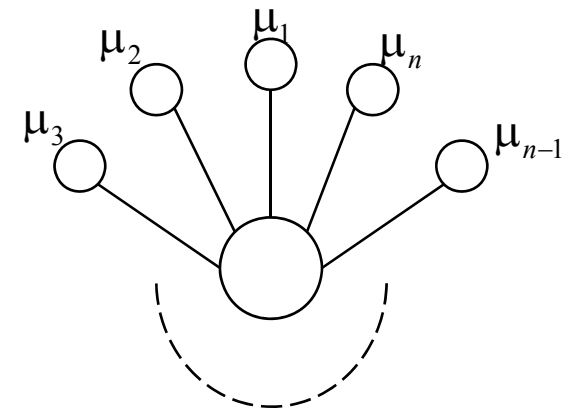


$\mu_i$ : node  $i$

(b) Ring

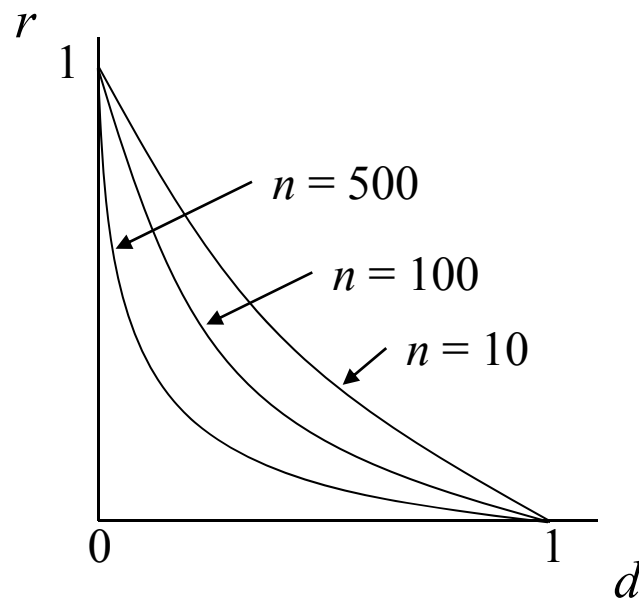


(C) Star

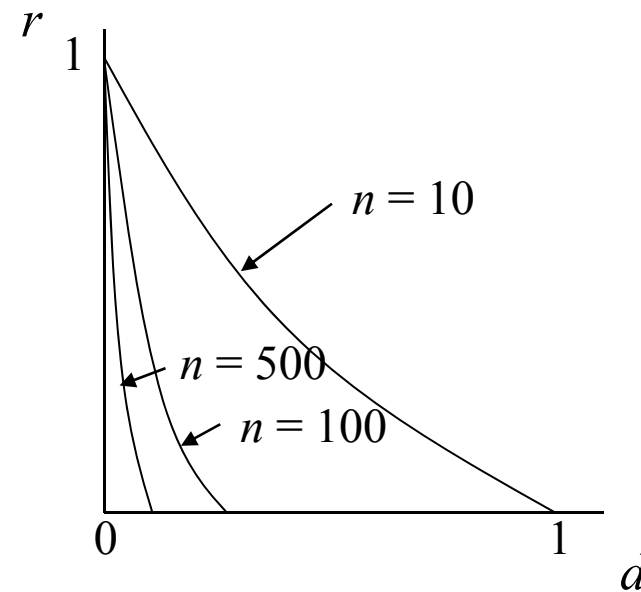


## II. Preliminaries

(a), (b) Elastic



(c) Trivial Elastic





### III. Disk Allocation of Cartesian Product Files

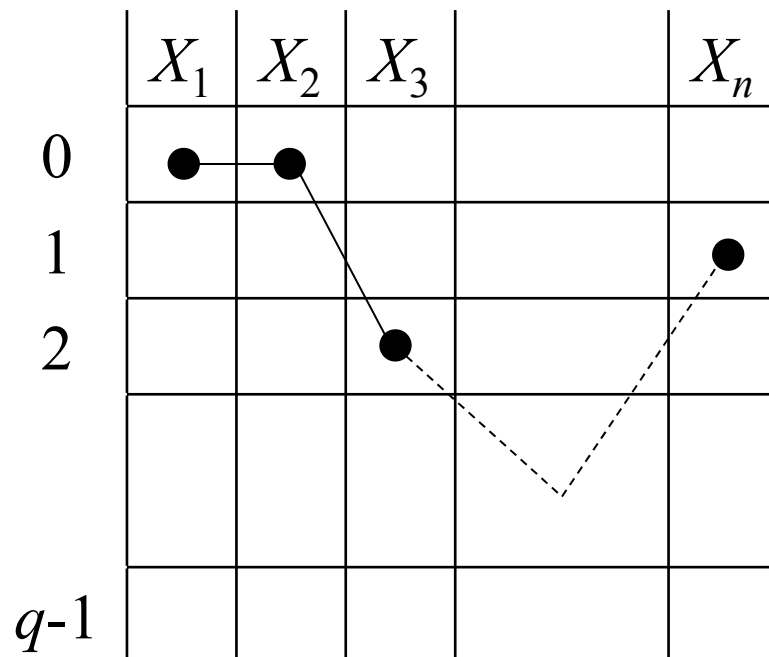
#### A. Cartesian Product Files

$q$ -ary product files

Attributes:  $X_1, X_2, \dots, X_n$

Domain:  $Z_1, Z_2, \dots, Z_n$ ,

Actual attribute value:  $z_i \in Z_i = \{0, 1, \dots, q-1\}$



$q^n$  bucket

Path shows a bucket(0, 0, 2,..., 1)

### III. Disk Allocation of Cartesian Product Files

#### B. Partial Match Request (PMR)

$$Q = (X_1 = z_1, X_2 = z_2, \dots, X_n = z_n) \quad (3)$$

where  $z_i \in \{0, 1, \dots, q-1, * \}$

\* : don't care ( $* = \{0, 1, \dots, q-1\}$ )

[Example 1] (PMR)

$q=2, n=4, G=4$  ( $G$ : the number of the files)

**Table I**

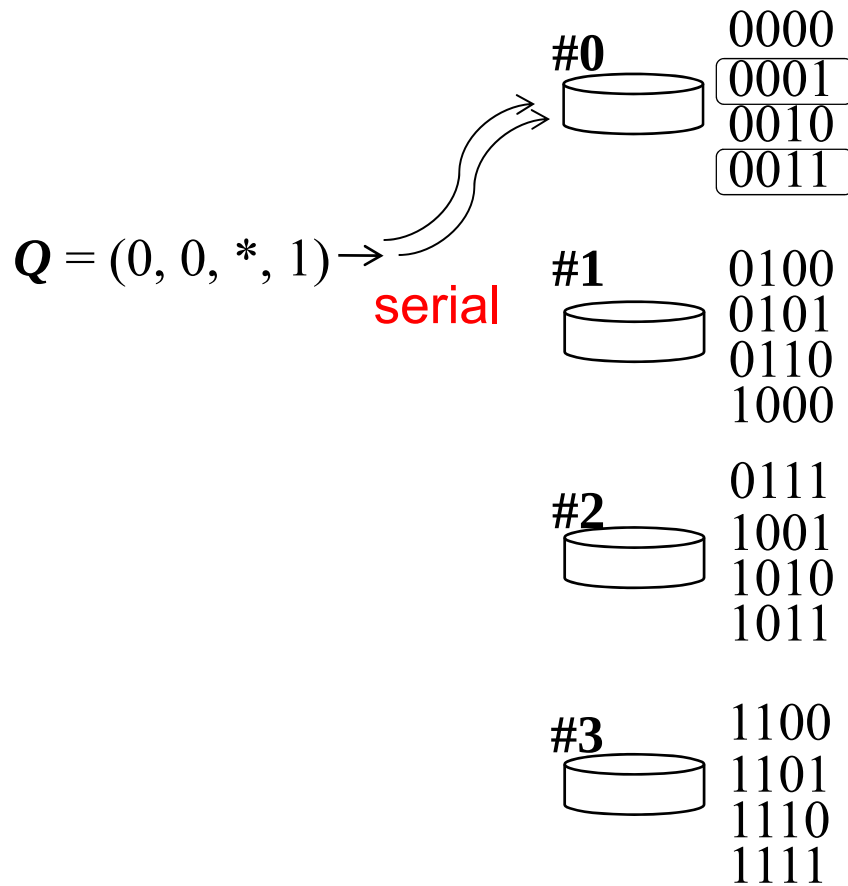
| $X_1$ (Sex) | $X_2$ (Income (\$/year)) | $X_3$ (Married) | $X_4$ (Age)     |
|-------------|--------------------------|-----------------|-----------------|
| 0 (Male)    | 0 ( $100K \leq$ )        | 0 (No)          | 0 ( $< 20$ )    |
| 1 (Female)  | 1 ( $< 100K$ )           | 1 (Yes)         | 1 ( $20 \leq$ ) |

$$Q = (0, 0, *, 1) \quad (4) \rightarrow (0, 0, 0, 1) \text{ and } (0, 0, 1, 1)$$

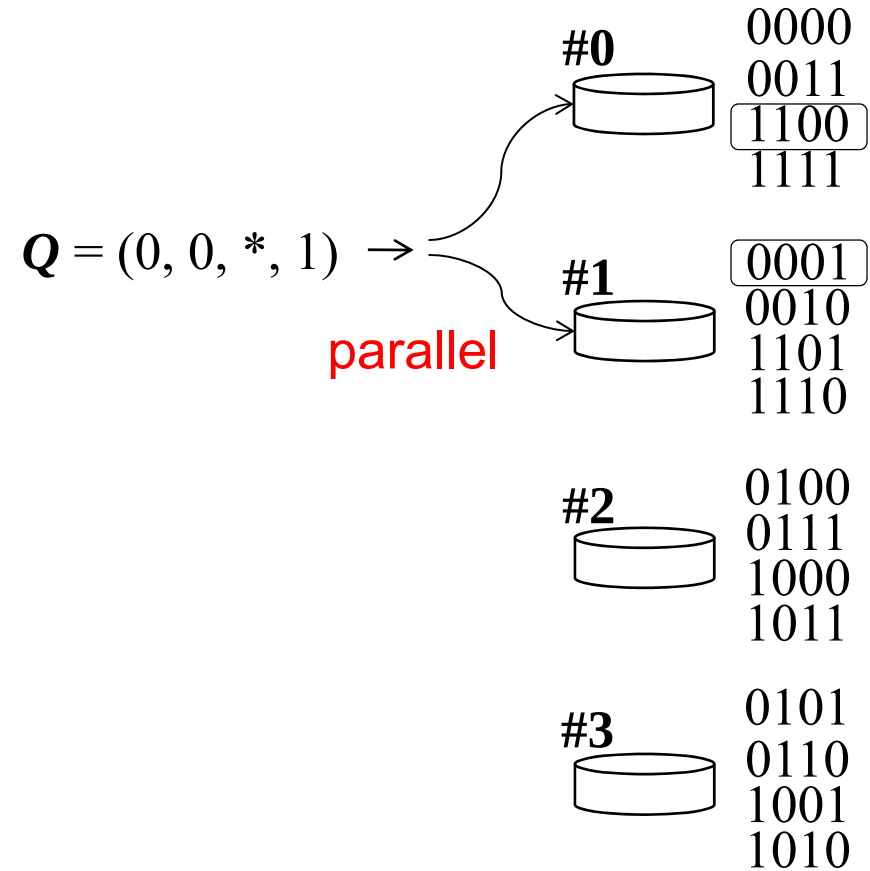
\* : married or unmarried

### III. Disk Allocation of Cartesian Product Files

(a) Binary allocation



(b) Distributed allocation



### III. Disk Allocation of Cartesian Product Files サイバー大学 Cyber University

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$G$ : the number of the files, ( $G_{\max} = q^n$ )

[Example 2] ([Standard Array](#)) Distributed Allocation Method of Product Files by Error Correcting Codes

$q=2$ ,  $n=6$ ,  $G=8$ , and  $q^n=64$

$$\text{PRM } Q = (0, *, 1, *, 0, 0) \quad (5) \rightarrow Q = \begin{aligned} &(0, \textcolor{red}{0}, 1, \textcolor{red}{0}, 0, 0) \\ &(0, \textcolor{red}{0}, 1, \textcolor{red}{1}, 0, 0) \\ &(0, \textcolor{red}{1}, 1, \textcolor{red}{0}, 0, 0) \text{ and} \\ &(0, \textcolor{red}{1}, 1, \textcolor{red}{1}, 0, 0) \end{aligned}$$

### III. Disk Allocation of Cartesian Product Files

Standard array of the binary (6, 3, 3) code

| disk # | bucket #      |        |        |        |               |        |               |               |
|--------|---------------|--------|--------|--------|---------------|--------|---------------|---------------|
| 0      | 000000        | 100110 | 010101 | 110011 | 001111        | 101001 | 011010        | 111100        |
| 1      | 100000        | 000110 | 110101 | 010011 | 101111        | 001001 | 111010        | <u>011100</u> |
| 2      | 010000        | 110110 | 000101 | 100011 | 011111        | 111001 | 001010        | 101100        |
| 3      | <u>001000</u> | 101110 | 011101 | 111011 | 000111        | 100001 | 010010        | 110100        |
| 4      | 000100        | 100010 | 010001 | 110111 | 001011        | 101101 | 011110        | 111000        |
| 5      | 000010        | 100100 | 010111 | 110001 | 001101        | 101011 | <u>011000</u> | 111110        |
| 6      | 000001        | 100111 | 010100 | 110010 | 001110        | 101000 | 011011        | 111101        |
| 7      | 000011        | 100101 | 010110 | 110000 | <u>001100</u> | 101010 | 011001        | 111111        |

$$Q = (0, *, 1, *, 0, 0)$$

$$\rightarrow Q = (001000), (001100), (011000), (011100)$$

### III. Disk Allocation of Cartesian Product Files

Coding Theory gives:

[Lemma 1] [1] Let the number of the \* occurred in  $Q$  be  $w$  ( $0 \leq w \leq n$ ). If  $0 \leq w < d$ , then a disk allocation method based on a  $q$ -ary  $(n, k, d)$  code is the optimum.  $\square$

Lemma 1 states that the  $q$ -ary  $(n, k, d)$  code can give the method for accessing the  $q^w$  buckets in parallel at once, if  $w < d$ .

$J$ : the number of access times to disks for  $S(Q)$

$w$ : the number of \* occurred in  $Q$

$0 \leq w < d \rightarrow$  accessible to  $q^w$  buckets by  $J=1$  (in parallel)

## IV. Evaluation of Disk Allocation Method

### A. Formulation of Disk Allocation Methods

$J$  : the number of access times to disks for  $S(Q)$

$\rho$  : evaluation loss

$S(Q)$  : the set of buckets required for  $Q$

$S(C)$  : the set of accessible by using code  $C$

[Definition 2] The evaluation loss  $\rho$  is given by:

$$\rho = \begin{cases} 0, & J = 1 (S(Q) \subseteq S(C)), \\ 1, & J \geq 2 (S(Q) \supset S(C)), \end{cases} \quad (6)$$



$$J = 1 \rightarrow S(Q) \subseteq S(C)$$

$$J \geq 2 \rightarrow S(Q) \supset S(C)$$

## IV. Evaluation of Disk Allocation Method

### A. Formulation of Disk Allocation Methods

$v$  : access performance

$$\begin{aligned} v &= 0 \times \Pr(J = 1) + 1 \times \Pr(J \geq 2) \\ &= \Pr(J \geq 2) \end{aligned} \tag{7}$$

$g$  : cost

$G$ : the number of disks  $G = q^{n-k}$  (8) ,  $G_{\max} = q^n$

$$g = G / G_{\max} = q^{-k} \tag{9}$$



## IV. Evaluation of Disk Allocation Method

### B. Equal Probability Case (EEP Codes C)

$p$  : the probability of the occurrence of  $*$  ,  $p=\Pr(*)$

$$\Pr(J \geq 2) = \Pr(w \geq d) \tag{10}$$

i. e,

$$\nu \leq \Pr( w \geq d )$$

Diagram illustrating the decomposition of a vector  $n$  into two sub-vectors  $n_1$  and  $n_2$ . The total length is  $n$ , which is equal to the sum of the two sub-vectors:  $n = n_1 + n_2$ .

$$\Pr^{(*)} \quad p_1 \quad \vdots \quad p_2$$
$$\begin{aligned} \Pr(z_i = *) &= p_1 \quad \text{for } i = 1, 2, \dots, n_1 \\ \Pr(z_j = *) &= p_2 \quad \text{for } j = n_1 + 1, n_1 + 2, \dots, n_2 \\ n &= n_1 + n_2 \end{aligned}$$

## IV. Evaluation of Disk Allocation Method

[Lemma 2] The  $[(n_1, n_2), M, (d_1, d_2)]$  UEP code can access with  $J=1$  as follows:

- When  $w_1=0$ , then  $J=1$  if  $w_2 < d_2$ .
- When  $w_1 \geq 0$ , then  $J=1$  if  $w_1 + w_2 < d_1$ .



1.  $w_1=0, w_2 < d_2 \rightarrow J=1$
2.  $w_1 \geq 1, w_1 + w_2 < d_1 \rightarrow J=1$

## IV. Evaluation of Disk Allocation Method

[Theorem 1] Suppose a set of buckets  $S(C_u)$  accessible to the disks with  $J=1$  using the code  $C_u$ . Then the probability of the access time with  $J \geq 2$  satisfies:

$$\Pr(J \geq 2) \leq \Pr(w_1 = 0) \Pr(w_2 \geq d_2) + \sum_{s=1}^{n_1} \Pr(w_1 = s) \Pr(w_2 \geq d_1 - s) \quad (11)$$

where  $w_1$  ( $w_2$ ) is the number of the \* in the 1st part (2nd part) of the UEP code  $C_u$ . □

## IV. Evaluation of Disk Allocation Method

### D. Calculation for Evaluation

Access performance:  $v = v(n, d)$

$$\updownarrow \text{—————} \delta = d / n \quad \text{vs.} \quad R = k / n$$

Cost:  $g = g(k, n)$

1. LP upper bound [11]:  $M \leq f(n_1, n_2, d_1, d_2)$
2. Gilbert lower bounds:  $d/n \geq H^{-1}(1-R) \quad (n \rightarrow \infty)$
3. Actual parameters of BCH codes, RS codes

where  $R=k/n$ , or  $R=(1/n) \log M$ ,  $M$  is the number of code words

## V. Numerical Results

### A. Binomial Distribution (BD)

$\Pr( * )$ : Binomial Distribution

(1) Cases by EEP codes  $C$

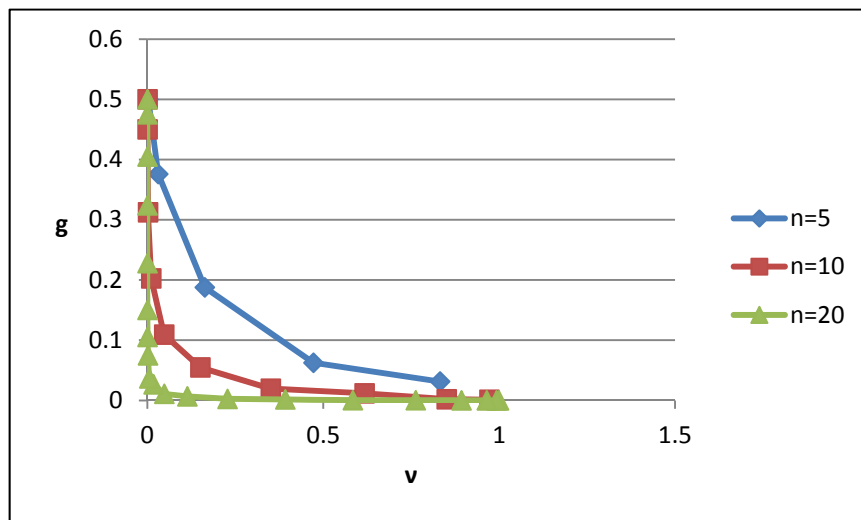


Fig. 2: LP upper bound  
by Codes  $C$  ( $p=0.5$ )

**(Elastic)**

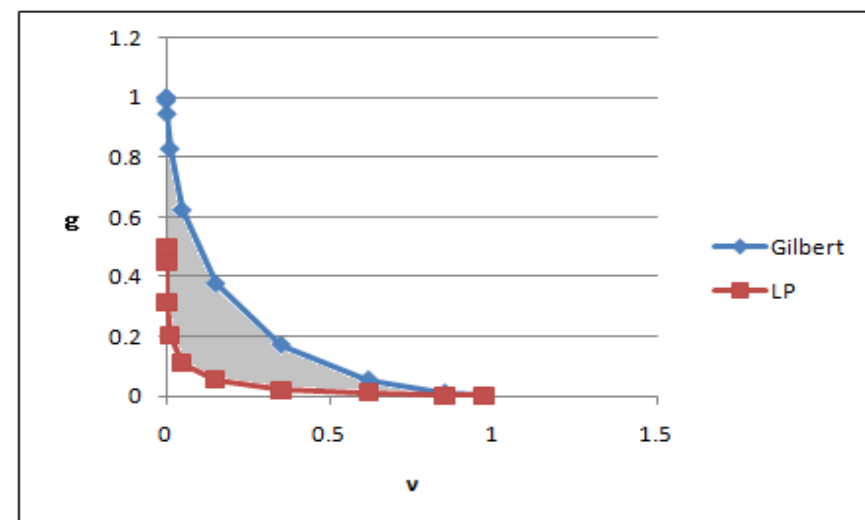


Fig. 3 LP upper bound and Gilbert lower  
bound by codes  $C$  ( $p=0.3$  and  $n=10$ )

**(Existence of the codes)**

## V. Numerical Results

### A. Binomial Distribution (BD)

(2) Case by UEP codes  $C_u$

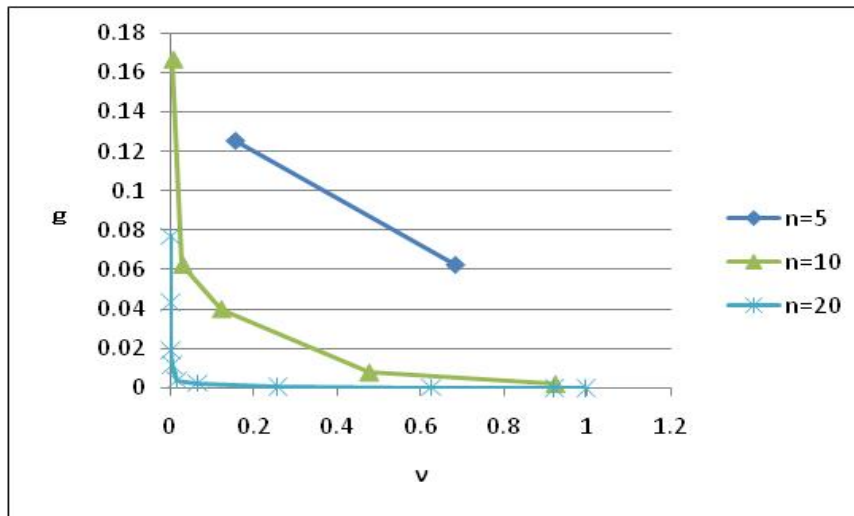


Fig. 4: LP upper bound by codes  $C_u$   
( $p_1=0.5$  and  $p_2=0.25$ )

(Elastic)

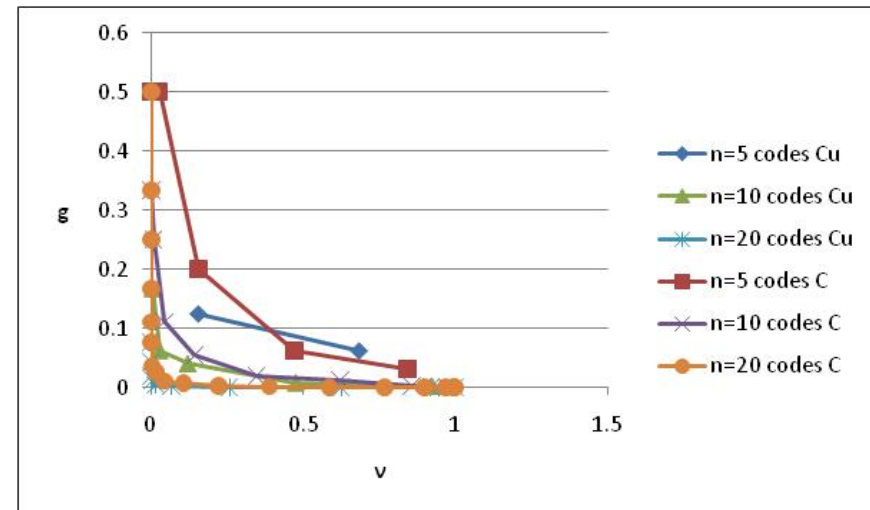


Fig. 5 LP upper bound by codes  $C$   
and  $C_u$  ( $p_1=0.5$  and  $p_2=0.25$ )

(Elastic, and Flexible)

## V. Numerical Results

### A. Binomial Distribution (BD)

(2) Cases by UEP codes  $C_u$

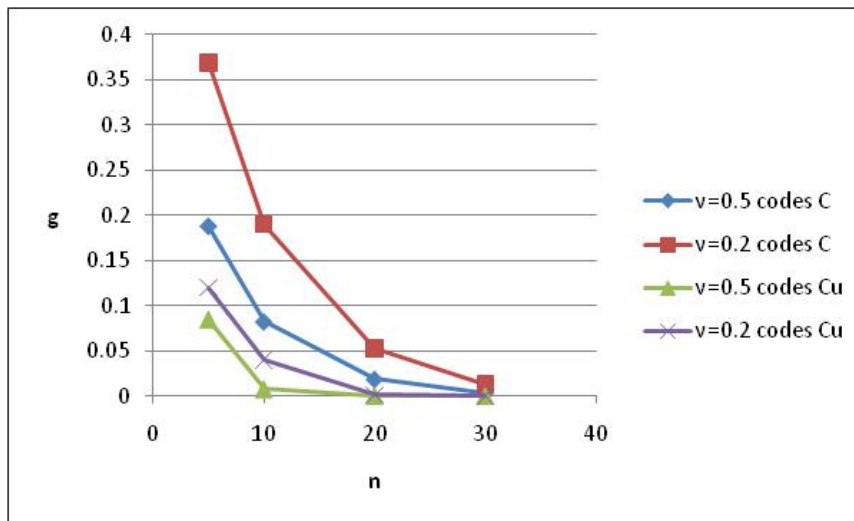


Fig. 6: LP upper bound by codes  $C$  ( $p=0.5$ ,  $v=0.2$ , and  $v=0.5$ ) and codes  $C_u$  ( $p_1=0.5$ ,  $p_2=0.25$ ,  $v=0.2$ , and  $v=0.5$ )

**(Effective Elastic)**



## V. Numerical Results

### B. Chernoff Bound (CB)

#### (1) Case by EEP codes $C$

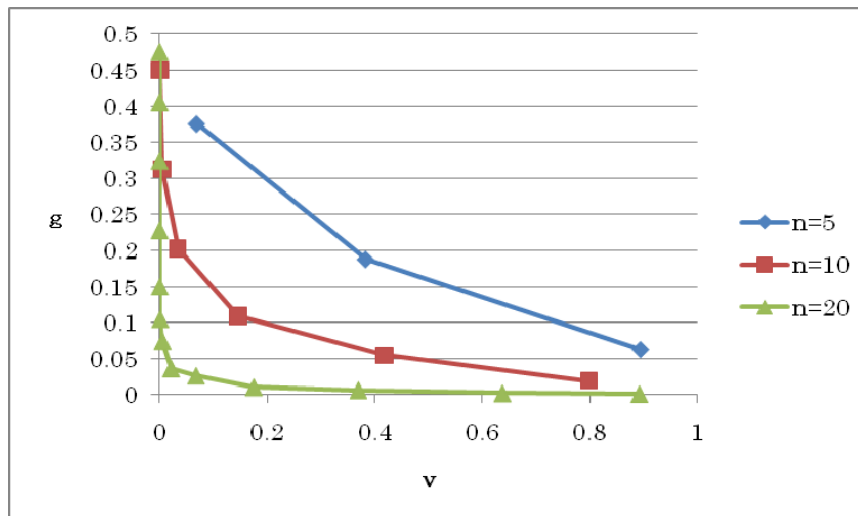


Fig. 7: LP upper bound by codes  $C$  ( $p=0.3$ )

(Elastic)

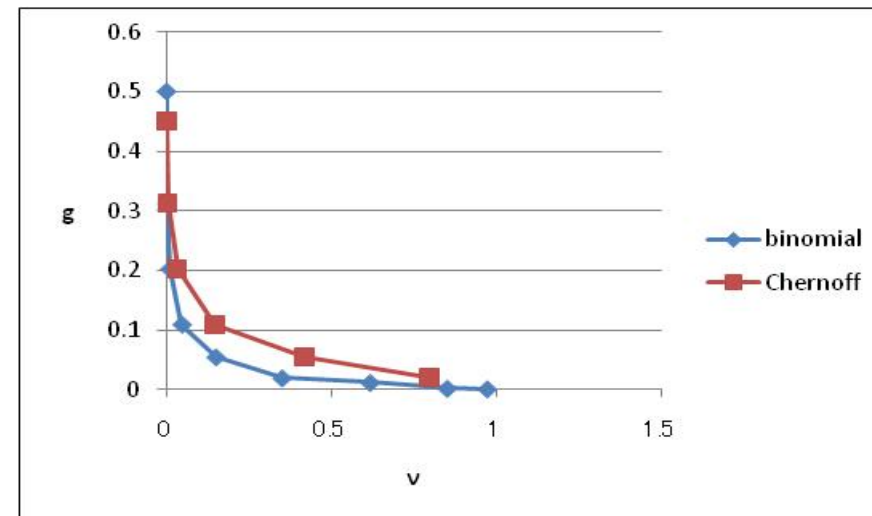


Fig. 8: Binomial distribution and Chernoff bound by codes  $C$  using LP upper bound ( $p=0.3$ , and  $n=10$ )

(Difference of distributions)

## V. Numerical Results

### B. Chernoff Bound (CB)

(2) Case by UEP codes  $C_u$

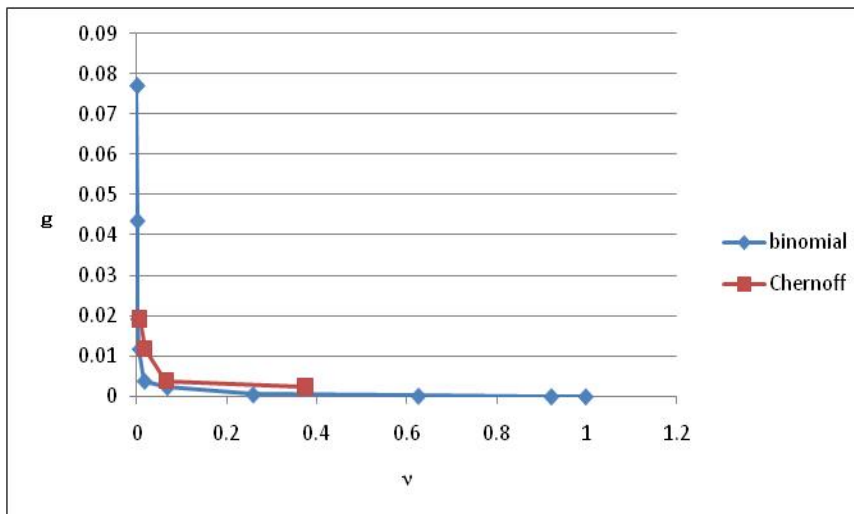


Fig. 9: Binomial distribution and Chernoff bound by codes  $C_u$  ( $(p_1=0.5, p_2=0.25$  , and  $n=0.5)$ )

**(Difference of distributions)**

## V. Numerical Results

### C. Discussion

From Fig. 2-9

(1)Elastic: Fig. 2, and 5

Effective Elastic: Fig. 6

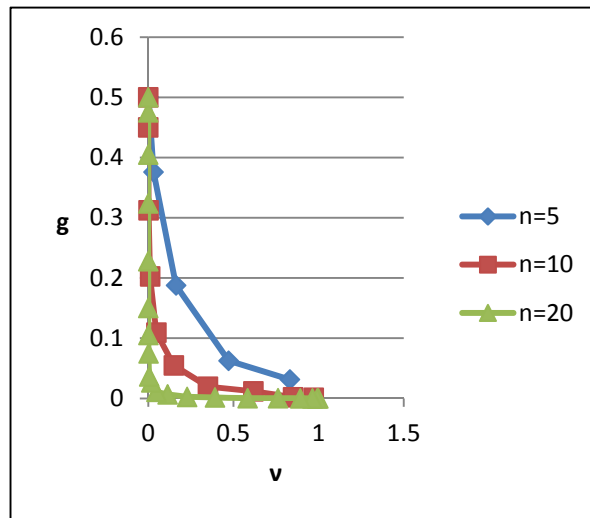


Fig. 2: LP upper bound by Codes  $C$  ( $p=0.5$ )

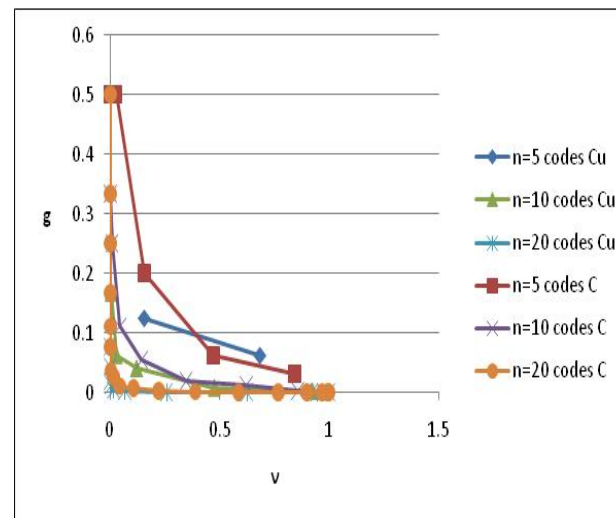


Fig. 5 LP upper bound by codes  $C$  and  $C_u$  ( $p_1=0.5$  and  $p_2=0.25$ )

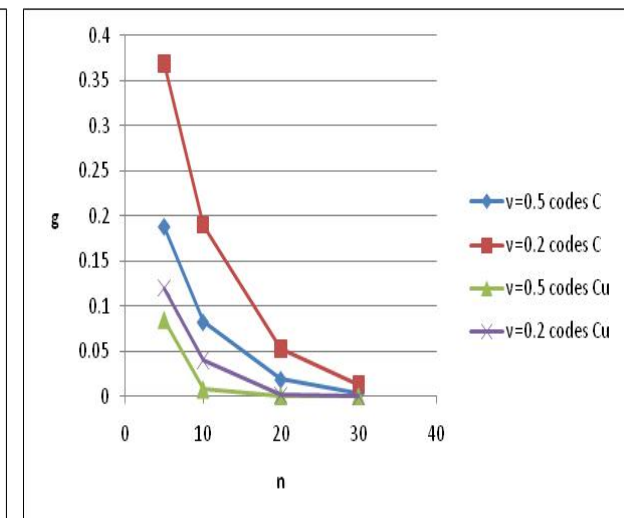


Fig. 6: LP upper bound by codes  $C$  ( $p=0.5$ ,  $v=0.2$ , and  $v=0.5$ ) and codes  $C_u$  ( $p_1=0.5$ ,  $p_2=0.25$ ,  $v=0.2$ , and  $v=0.5$ )

## V. Numerical Results

### C. Discussion

#### (2) Flexible: Fig. 5

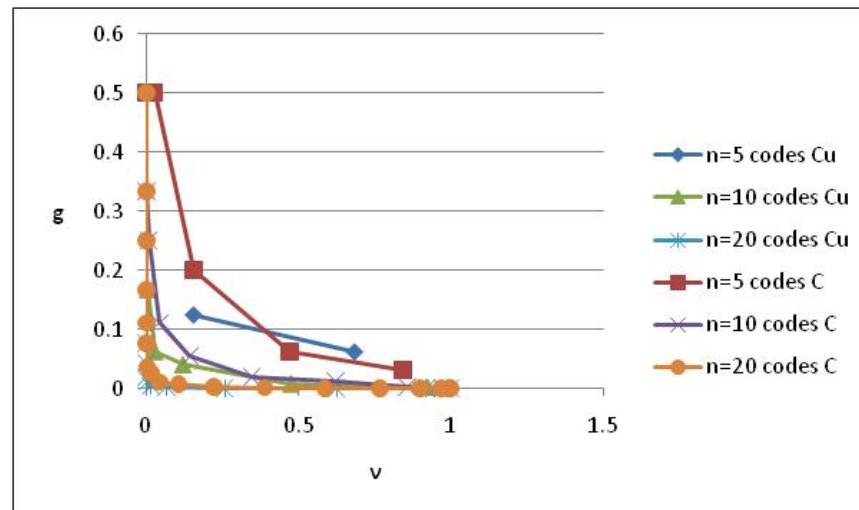


Fig. 5 LP upper bound by codes  $C$  and  $C_u$  ( $p_1=0.5$  and  $p_2=0.25$ )

## V. Numerical Results

### C. Discussion

#### (3) Bounding Techniques

#### LP upper bound and Gilbert lower bound: Fig. 3

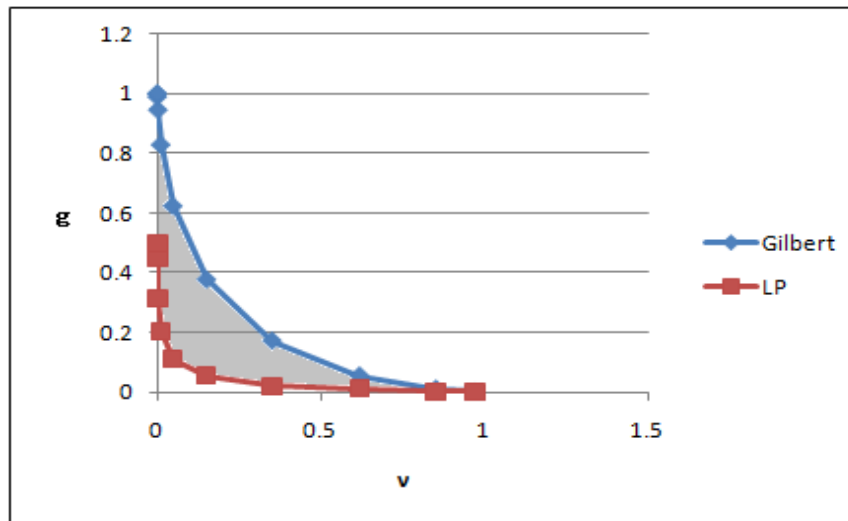


Fig. 3 LP upper bound and Gilbert lower bound by codes  $C$  ( $p=0.3$  and  $n=10$ )

## V. Numerical Results

### C. Discussion

#### (3) Bounding Techniques

#### Binominal distribution and Chernoff bound: Figs. 8 & 9

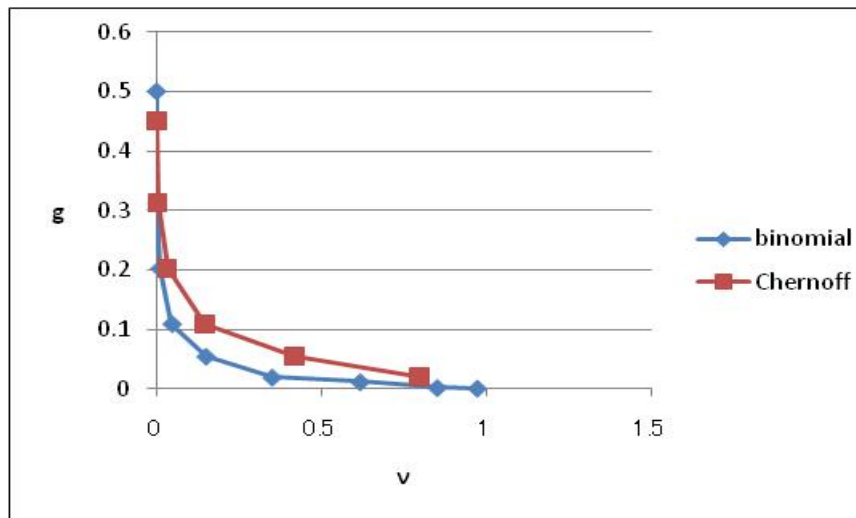


Fig. 8: Binomial distribution and Chernoff bound by codes  $C$  using LP upper bound ( $p=0.3$ , and  $n=10$ )

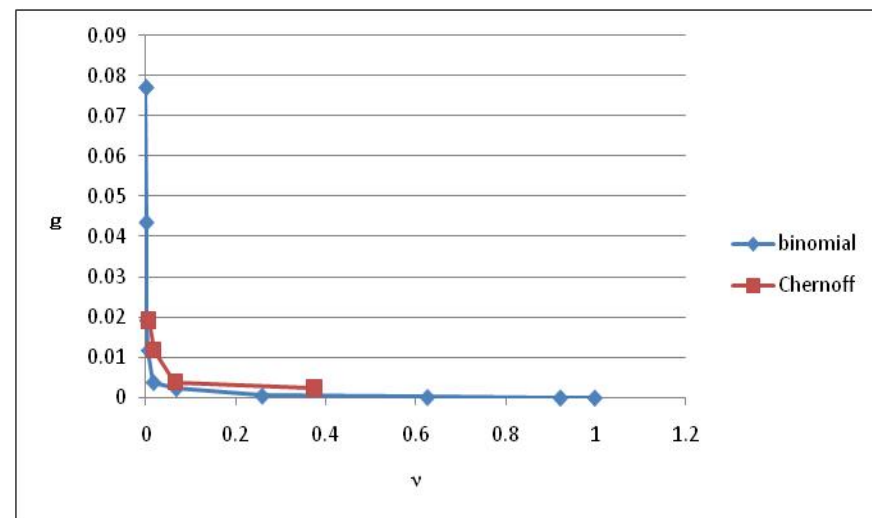


Fig. 9: Binomial distribution and Chernoff bound by codes  $C_u$  ( $(p_1=0.5, p_2=0.25$ , and  $n=0.5)$ )

## VI. Concluding Remarks

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(1)  $g = g(v, n)$  is

- (i) an **elastic** function
- (ii) an **effective elastic** function
- (iii) a **flexible function** for UEP codes compared to EEP codes

The (i) states that tolerating a small access performance degradation in  $v$  introduces a drastic saving of the cost  $g$ , and

(ii) this property can be effectively enhanced by the number of attribute  $n$  becomes large. We can also remark that

(iii) the UEP codes  $C_u$  are useful for the disk allocation methods if the probabilities of occurrences of the  $*$  are not uniform.

## VI. Concluding Remarks

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(2) Generalization:

LP upper bounds: 2-split codes  $\rightarrow$   $L$ -split codes ( $L > 3$ )

$q=2 \rightarrow q \geq 3$  ( $q$ : power of a prime)

(3) Further research

If we find  $g = F(v, n)$  by Chernoff bound, then we can discuss to show analytically whether the system has effective elastic or not.